

Compliance Meet and Greet



Welcome!

Compliance Meet and Greet



- *Meet and Greet* is an initiative begun by the Compliance Department in February 2018
- Town Hall style meetings that give everyone an opportunity to ask questions and interact with the Compliance Team



Compliance Meet and Greet



- Primary Goal: Employees become more familiar with the Compliance and Internal Audit Team and more comfortable with the Compliance Program
- Secondary Goal: Present the basics of the Compliance Program
- Solicit feedback



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Primary Goal: Employees become more familiar with the Compliance and Internal Audit team and more comfortable with the Compliance Program

- **Who we are:** Compliance Officer-Cindy Rogers; Legal Counsel-Robert Royston; 3 Auditors; 1 Coordinator
- **What we do:** Act as a guardrail for the hospital to help Children's avoid violating federal laws
- **What you can do:** Help us by reporting any compliance concerns. There are 5,000 employees and only 5 1/2 Compliance Program employees

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Additional Resource

There is a Corporate Compliance and Internal Audit link on the left side of the Red Wagon. You can find

- Phone numbers and email addresses for the Compliance/Internal Audit team
- Link to the Hotline
- Code of Conduct
- Compliance Steering Committee members, etc.



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Secondary Goal: Present the Basics of the Compliance Program

- 1. How to report**
- 2. What to report**
- 3. What happens if you do report**



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Several Ways to Report

- **You choose-you DON'T have to follow the Chain of Command when you report a compliance concern**
- **You can talk to your supervisor, director, VP**
- **You can call or email the Compliance Officer (638-7134 or cindy.rogers@childrensal.org)**

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How to Report?

However, to report **anonymously:**

Click the telephone icon on the right side of
The Red Wagon



- Reports may be made by calling 1-800-624-9775
- OR completing a report online

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What to Report?

***Reporting Potential Compliance Issues* policy has 20 examples of compliance issues, a few of these are:**

- Accessing patient records without a need to know, or any other HIPAA concern
- Drug diversion-taking patient medications for personal use, or other misuse; this is especially relevant with the current national opioid crisis
- Any violation of Children's Code of Conduct, many of which are associated with federal laws that have substantial fines and penalties for noncompliance
- Theft or misuse of business equipment or hospital supplies
- Plus 16 other examples in the policy

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What Happens If You Do Report?



- Approximately 100 reports per year
- All are thoroughly investigated
- If the report is through the Hotline, a response is posted to the caller. Remember to keep the **Report Number** and **PIN** you were given when you made the initial report so that you can log back in to see if additional info is needed
- If the report is a direct call to the Compliance Officer, a follow up message is sent to the reporter

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What Happens If You Do Report

- You will **not** be retaliated against if you make a report in good faith
- If you do feel retaliated against, please call the Compliance Officer, Cindy Rogers, at **205-638-7134**

Thank you for...



Your commitment to Children's of Alabama

